



#4  
03  
02-15-02

Docket No.: 5039-124

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of :  
: Makoto KATAYAMA, et al. :  
: Serial No.: 09/993,410 : Group Art Unit: 2872  
: Filed: November 21, 2001 : Examiner:  
: For: OPTICAL DEVICE :

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

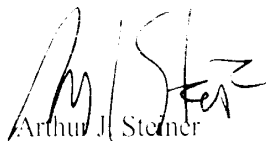
date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

09/993,410

The relevance of each non-English language reference, if any, is discussed in the present specification.

Respectfully submitted,

MCDERMOTT, WILL & EMERY

A handwritten signature in dark ink, appearing to read "A. J. Steiner", is written over the printed name.

Arthur J. Steiner  
Registration No. 26,106

600 13<sup>th</sup> Street, N.W.  
Washington, DC 20005-3096  
(202) 756-8000 AJS:prp  
**Date: January 17, 2002**  
Facsimile: (202) 756-8087